

**Adult Protective Services Program
Quarterly Report
April 1- June 30, 2013**

Submitted to: Chair, Health Care Oversight Committee

Submitted by: Susan Wehry, Commissioner, AHS/DAIL

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Report Date July 19, 2013

Pursuant to H. 105 reporting requirements, DAIL is pleased to provide the first Adult Protective Services (APS) Quarterly Report. This covers the 4thQ of FY13 (April 1 – June 30, 2013). During this period APS received a total of 980 reports.

- A. Of the 980 total reports received:
- a. 295 were complaints about a licensed facility. These were referred to DLP's Survey and Certification unit for review, screening and follow up.
 - b. Of the remaining 685 reports, 362 (53%) were assigned and opened for investigation.
- B. Of all reports not opened for APS investigation
- a. 295 reports were referred to Survey and Certification for follow-up
 - i. 170 reports were of resident to resident or patient to patient abuse
 - ii. 125 reports were facility complaints within the jurisdiction of Survey/Certification.
 - b. 308 reports were not opened for the following reasons
 - i. 37 reports were of self-neglect.
 - ii. 134 reports lacked an allegation meeting statutory definition(s) of abuse, neglect or exploitation.
 - iii. 6 reports were related to alleged victims who were deceased.
 - iv. 117 reports were closed for other reasons: victim's location unknown (2); report already investigated (35); no allegation of maltreatment (46); incident in past, no current need (4); no statutory authority to investigate (12); incomplete report (16); other (2).
 - v. 14 reports were closed because the alleged victim didn't meet the statutory definition for vulnerable adult.

- C. Of the 14 reports closed because the alleged victim didn't meet a statutory definition for vulnerable adult, the relationship of the reporter to alleged victim included:
 - a. 3 Developmental Disabilities program staff
 - b. 1 Friend of the alleged victim
 - c. 1 Health or medical professional staff
 - d. 1 Staff of a Home Health or VNA agency
 - e. 2 Relatives
 - f. 1 Residential care home staff
 - g. 2 Social Workers
 - h. 3 Other

- D. For those reports not opened for investigation, APS provided 449 referrals to the reporter and/or victim for other services or agencies, as follows:
 - a. 48 to Area Agencies on Aging
 - b. 4 to the Division of Disability and Aging Services
 - c. 347 to the Survey/Certification Unit of DLP
 - d. 32 to a law enforcement
 - e. 6 to VT Legal Aid
 - f. 2 to the Attorney General's Medicaid Fraud Unit
 - g. 3 to Disability Rights VT
 - h. 7 to the Office of Professional Regulation

- E. During the reporting period, 234 investigations were completed and of these:
 - a. 32 (14%) recommended Substantiated.
 - b. 202 (86%) recommended Not Substantiated.
 - c. 346 cases remained open and under investigation.

**Disability and Aging Services
Licensing and Protection**

**Blind and Visually Impaired
Vocational Rehabilitation**

FY13 - 4th Quarter Report
 Adult Protective Services Quarterly Report
 From: 4/1/13 to 6/30/13

Total Reports	
Total Reports	980
Investigations Assigned	
Investigations Assigned	362
Total Open Cases	
Total Open Cases	346
Unsubstantiated Investigations	
Unsubstantiated Investigations	202

INTAKES NOT ASSIGNED FOR INVESTIGATION	
Reports Not Assigned for Investigation by Resolution	
Allegations do not Meet Definition of A/N/E	134
Alleged Victim Deceased	6
Alleged Victim not a Vulnerable Adult	14
Complaint does not Contain an Allegation of Maltreatment	46
Incident Already investigated	35
Incident in Past; No Current Need	4
Incomplete Report	16
Jurisdiction of Another Agency	127
No Statutory Authority to Investigate	12
The report was based on "resident on resident" abuse	170
The report was based on self-neglect	37
Unable to Locate Alleged Victim	2
Total	603
Reporter Relationship to Alleged Victim - (for cases closed/screened out as not a Vulnerable	
Developmental Disabilities Staff	3
Friend	1
Health/Medical Professional	1
Home Health/VNA	1
Other	1
Relative	2
Residential Care Home Staff	1
Social Worker	2
Unknown	2
Total	14
Alleged Perpetrator Relationship to Alleged Victim	
Friend	1
Mental Health Staff	1
Non-Family Caregiver	1
Other	4
Relative	4
Spouse	1
Unknown	3
Total	15
Referral on Reports Not Assigned for Investigation	
AAA	48
DDAS	4
Disability Rights Vermont	3
Division of Licensing and Protection	347
Law Enforcement	32
Legal Aid	6
Medicaid Fraud Unit	2
Office of Professional Regulation	7
Total	438

FY13 - 4th Quarter Report
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Total Reporters	
Total Reporters	866
Assigned Reports	
Reports Assigned for Investigation	359
Completed Investigations	
Substantiated	32
Unsubstantiated	202
Total	234
Determination Reasons for Unsubstantiated Investigations	
Allegation Does Not Meet Statutory Definition of A/N/E	18
Available Evidence Indicated that A/N/E Did Not Occur	90
Competent Adult Refused Investigation	23
Insufficient Evidence to Prove A/N/E Occurred	71
Total	202
Referrals to Other Agencies - Open Investigation	
AAA	20
Disability Rights Vermont	2
Division of Licensing and Protection (Survey and Certification)	2
Law Enforcement	13
Legal Aid	7
Medicaid Fraud Unit	7
Other Referrals	30
Total	81
Protective Services	
Arranged for increased supervision	10
Asked the bank to freeze accounts	2
Assisted with implementation of Rep Payee	8
Assisted with securing safe and sanitary living conditions	8
Assisted with the execution of POA	2
Conducted joint investigation with law enforcement	7
Obtained Temporary Relief from A/N/E Order	6
Other Protective Service	14
Petitioned for Guardianship	3
Petitioned to Appoint a Successor Guardian	2
Provided counseling or arranged counseling	6
Protective Services were declined by competent adult	99
The vulnerable adult and his/her guardian accepted one or more of the following	37
Written Coordinated Treatment Plan	
Investigation resulted in a written coordinated treatment plan, protective services	32
Placed on Registry	
Substantiated Perpetrators Placed on Registry	43

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INTAKE INFORMATION

Total Intakes 980

ALLEGED VICTIM TOTALS

Unduplicated Alleged Victims 893

REPORTER RELATIONSHIP TO THE ALLEGED VICTIM

ALLEGATION TYPE:	Chemical Abuse	Emotional Abuse	Exploitation	Fin Explo	Neglect	Physical Abuse	Self Neglect	Sexual Abuse	Sexual Expl.	Unlawful Restrating	Total
AAA	0	7	0	13	10	4	0	0	1	0	35
Anonymous	0	3	1	1	4	2	0	0	1	0	13
Attorney	0	1	0	0	1	0	0	0	0	0	2
Bank	0	1	0	6	0	1	0	0	0	1	9
Developmental Disabilities Staff	0	4	0	6	5	9	0	5	2	0	31
Doctor	2	4	0	0	4	4	0	3	1	0	18
Fellow Resident/Patient	0	0	0	1	0	1	0	0	0	0	2
Friend	0	2	0	2	3	0	0	1	0	1	9
Health/Medical Professional	0	22	0	18	16	45	0	3	7	3	114
Home Health/VNA	0	22	0	27	14	14	0	1	1	1	80
Mental Health Staff	1	16	0	10	3	11	0	3	9	3	56
Neighbor	0	5	0	2	3	1	0	0	0	1	12
Non-Family Caregiver	0	1	0	0	1	1	0	0	0	0	3
Non-Relative	0	1	0	2	2	2	0	0	0	1	8
Nursing Home Staff	1	18	1	21	9	88	0	4	2	0	144
Other	0	22	0	32	14	18	0	3	4	3	96
Other Professional	2	34	0	31	32	30	0	3	4	8	144
Police	0	2	0	4	3	6	0	0	1	0	16
Probation/Parole Officer	0	0	0	1	0	1	0	0	0	0	2
Relative	0	11	5	27	24	11	0	0	2	2	82
Residential Care Home Staff	0	9	0	24	3	17	0	2	2	0	57
School	0	1	0	0	1	0	0	0	0	0	2
Social Worker	0	27	0	40	16	19	0	4	7	0	113
Spouse	0	0	0	0	2	0	0	0	0	0	2
Unknown	3	79	3	111	59	82	0	13	0	19	426

FY13 - 4th Quarter Report
 Adult Protective Services Quarterly Report
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ALLEGED PERPATRATOR RELATIONSHIP TO ALLEGED VICTIM

ALLEGATION TYPE:	Chemical Abuse	Emotional Abuse	Exploitation	Financial Exploitation	Neglect	Physical Abuse	Self-Neglect	Sexual Abuse	Sexual Exploitation	Unlawful or	Total
Developmental Disabilities Staff	0	0	0	1	0	1	0	0	0	0	2
Doctor	0	1	0	1	1	0	0	0	0	3	6
Fellow Resident/Patient	1	14	1	12	7	107	0	6	5	1	154
Friend	0	4	0	17	5	13	0	0	1	0	40
Health/Medical Professional	1	2	0	0	1	2	0	0	0	1	7
Home Health/VNA	0	1	0	5	2	0	0	0	1	0	9
Home Provider	0	3	0	2	7	1	0	0	0	2	15
Legal Guardian	0	0	0	1	2	1	0	0	0	0	4
Mental Health Staff	1	2	0	4	1	4	0	0	2	5	19
Neighbor	0	5	0	19	2	9	0	0	3	1	39
Non-Family Caregiver	0	11	0	16	9	8	0	1	2	1	48
Non-Relative	0	7	0	7	0	4	0	0	1	0	19
Nursing Home Staff	0	15	0	3	9	18	0	4	3	2	54
Other	0	20	1	33	15	28	0	4	9	0	110
Other Professional	0	5	0	4	3	10	0	0	0	2	24
Relative	1	70	2	90	49	56	0	7	6	4	285
Residential Care Home Staff	0	5	0	12	3	3	0	0	2	1	26
Spouse	0	21	0	6	9	18	0	1	0	0	55
Unknown	4	78	5	100	48	62	0	16	0	12	325

VULNERABLE CONDITION

ALLEGATION TYPE:	Chemical Abuse	Emotional Abuse	Exploitation	Financial Exploitation	Neglect	Physical Abuse	Self-Neglect	Sexual Abuse	Sexual Exploitation	Unlawful or	Total
Elderly/Frail	0	70	6	119	55	103	0	4	6	8	371
Mentally Disabled	4	110	4	135	69	136	0	21	29	24	532
Physically Disabled	0	77	3	89	51	80	0	5	9	9	323
Unknown	5	137	3	188	105	184	0	23	23	16	684

AGE RANGE

ALLEGATION TYPE:	Chemical Abuse	Emotional Abuse	Exploitation	Financial Exploitation	Neglect	Physical Abuse	Self-Neglect	Sexual Abuse	Sexual Exploitation	Unlawful or	Total
18 - 59	3	118	3	122	82	128	0	25	37	25	543
60 - 79	2	86	2	123	55	93	0	7	10	2	380
80 +	0	73	5	114	71	124	0	9	7	11	414
Unknown	3	13	0	21	21	23	0	5	0	3	89

RESIDENCE COUNTY

ALLEGATION TYPE:	Chemical Abuse	Emotional Abuse	Exploitation	Financial Exploitation	Neglect	Physical Abuse	Self-Neglect	Sexual Abuse	Sexual Exploitation	Unlawful or	Total
Addison	1	6	0	12	11	9	0	0	1	1	41
Bennington	0	0	0	0	0	1	0	0	0	0	1
Caledonia	0	11	0	13	12	6	0	2	1	2	47
Chittenden	0	39	0	60	37	45	0	6	3	11	201
Essex	0	2	0	0	3	2	0	0	0	2	9
Franklin	0	23	0	39	20	24	0	6	7	0	119
Grand Isle	0	0	0	1	0	0	0	0	0	0	1
Lamoille	2	10	2	13	10	15	0	2	2	1	57
Orange	0	10	1	15	10	6	0	1	1	0	44
Orleans	0	15	1	11	2	11	0	3	2	1	46
Rutland	0	35	2	45	19	42	0	1	5	0	149
Washington	0	50	0	58	22	63	0	4	8	2	207
Windham	5	22	1	42	17	50	0	5	10	7	159
Windsor	0	19	0	28	29	30	0	0	2	7	2
Unknown	0	37	1	32	31	33	0	11	4	8	157

FY13 - 4th Quarter Report
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LIVING ARRANGEMENTS											
	Chemical	Emotional	Exploitation	Financial Exploitation	Neglect	Physical	Self-	Sexual	Sexual	Unlawful	Totals
Alone	0	19	4	32	8	7	0	0	3	2	75
Assisted Living Residence	0	2	0	3	5	4	0	0	0	0	14
Group Home	0	1	0	0	0	1	0	1	0	0	3
Homeless	0	2	0	2	1	1	0	3	0	0	9
Hospital	1	3	0	3	8	2	0	1	0	1	19
Licensed Facility	0	25	1	51	15	84	0	7	8	2	193
Nursing Home	1	18	1	15	16	59	0	6	5	6	127
Other	0	8	0	10	10	7	0	0	1	3	39
Own Home	2	63	2	83	40	49	0	4	14	4	261
Psychiatric Facility	1	8	0	3	5	14	0	0	5	5	41
Relative's Home	0	11	0	14	17	16	0	1	1	3	63
Residential Care Home	1	3	0	6	3	12	0	2	2	0	29
Therapeutic Community Res	0	2	0	0	0	1	0	0	0	0	3
Unknown	0	12	0	15	2	9	0	2	3	2	45
Unlicensed Facility	0	1	0	0	2	1	0	0	0	0	4
With Caregiver	0	14	0	17	16	9	0	1	4	3	64
With Relative	0	24	0	33	27	19	0	2	3	4	112
With Spouse	0	15	0	8	12	14	0	0	0	0	49
Unknown	3	65	2	94	46	64	0	16	6	8	304
Living Arrangements Total											