

APS MONTHLY REPORT TO THE DAIL COMMISSIONER

February 2015

To: Susan Wehry
Commissioner, Department of Disabilities, Aging and Independent Living

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Date: March 22, 2015

The Adult Protective Services (APS) program provides monthly reports at the request of the DAIL Commissioner. This is the monthly report for February 2015. The data table and attached charts derived from it provide information on the APS program from September 2014 to February 2015. Appendix A provides definitions for the data elements used in this report.

Notes on Report Data

This report completed on the same date as the January 2015 report; the narrative from that report is also provided below.

There are no trends in the data that require immediate action at this time.

There is currently a vacancy on the southern APS investigator team. The position will be filled March 23, 2015, and the position has been vacant for just over 3 months.

The data shows the effect of this single vacancy on the overall numbers for the program.

- The number of open investigations per investigator for the southern team has risen sharply even though the program as a whole has seen a decline. Chart 1 shows we again have an investigator with over 50 cases.
- Chart 5 shows 83 cases over 120 days old as of March 20, 2015. A closer examination reveals that 71% of the cases over 120 days old are on the southern team.

Overall, the APS program has continued to decrease the number of open cases over the past five months. The story behind the curve is that the number of intakes has decreased while these advances in open cases have been made. There were 23% fewer intakes in January 2015 than in September 2014. This is to be expected, as the number of intakes fluctuates considerably over time. If the number of intakes increases again, we can expect to see the number of open cases and cases per investigator have a corresponding rise.

Data Table

Month	Sep-14	Oct-14	Nov-14	Dec-14	Jan-15	Feb-15
Intakes Entered	424	399	305	345	325	363
APS Closed Contacts	251	257	173	216	174	215
APS Closed Contacts Referred to S&C for Investigation	121	116	92	103	79	111
Intakes Referred to APS Investigation	169	137	126	129	151	145
Completed APS Investigations	158	178	139	168	117	133
Substantiated APS Investigations	15	26	17	44	20	8
Unsubstantiated APS Investigations	143	152	122	124	97	125
Perpetrators Placed on Adult Abuse Registry	2	5	6	15	12	8
Total Open APS Cases (Average)	417	381	354	296	281	289
APS Investigator Caseload (Average)	38	35	32	27	26	26
Minimum APS Investigator Caseload	14	20	22	13	12	15
Maximum APS Investigator Caseload	50	51	40	44	53	51
Age of Open Caseload as of Date:	10/1/2014	11/3/2014	12/1/2014	1/21/2015	3/20/2015	
# Cases Open on Date Specified	391	359	265	282	289	
# Open Cases < 90 Days Old	248	219	169	174	183	
# Open Cases between 90 and 120 Days Old	76	37	65	25	23	
# Open Cases > 120 Days Old	67	103	31	83	83	
% Open Cases < 90 Days Old	63.4%	61.0%	63.8%	61.7%	63.3%	
% Open Cases Between 90 and 120 Days Old	19.4%	10.3%	24.5%	8.9%	8.0%	
% Open Cases > 120 Days Old	17.1%	28.7%	11.7%	29.4%	28.7%	

Chart 1: Minimum, Maximum and Average APS Investigator Caseload Past Six Months

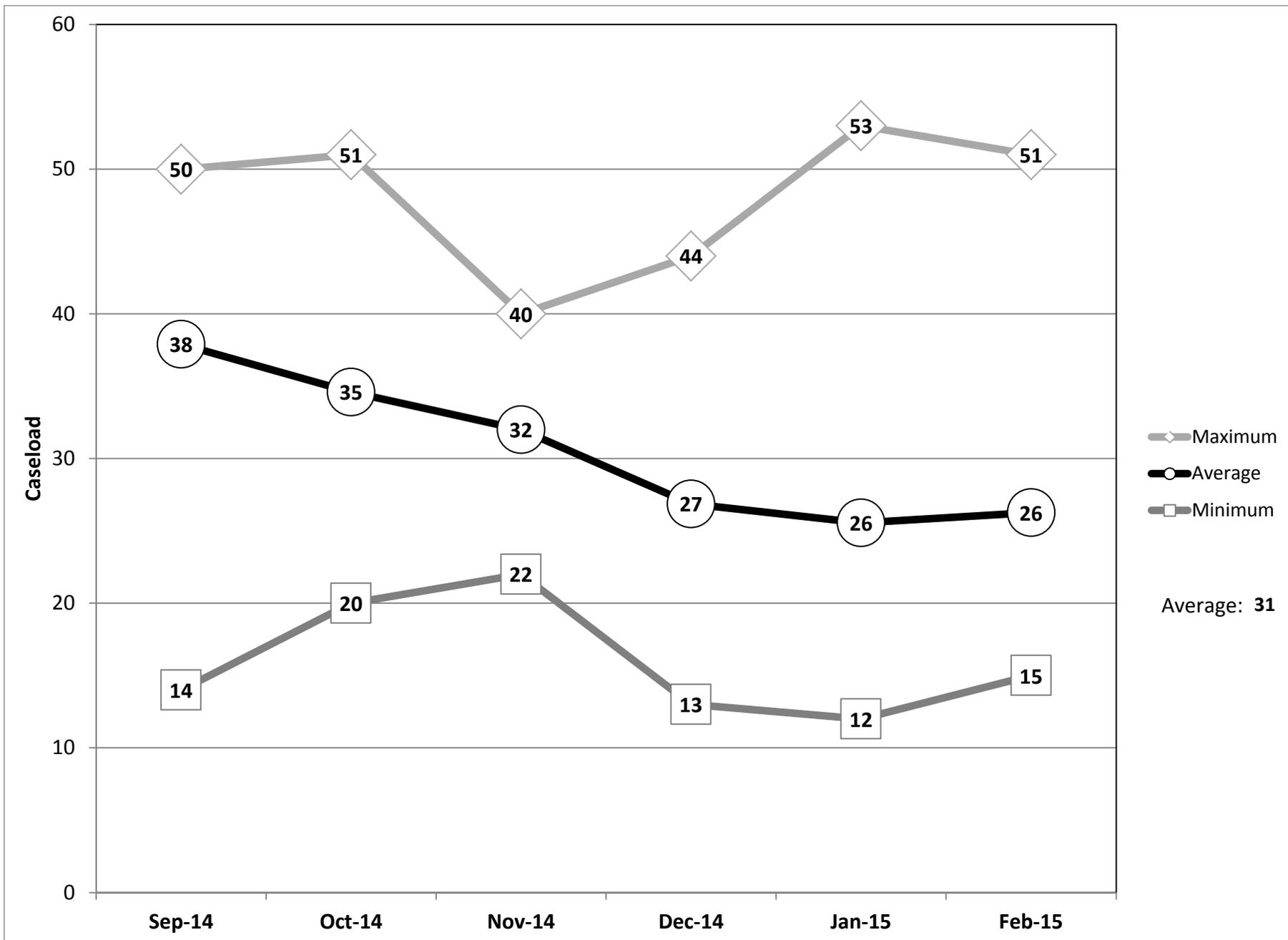


Chart 2: Division Intakes, APS Closures and Average Open APS Cases Past Six Months

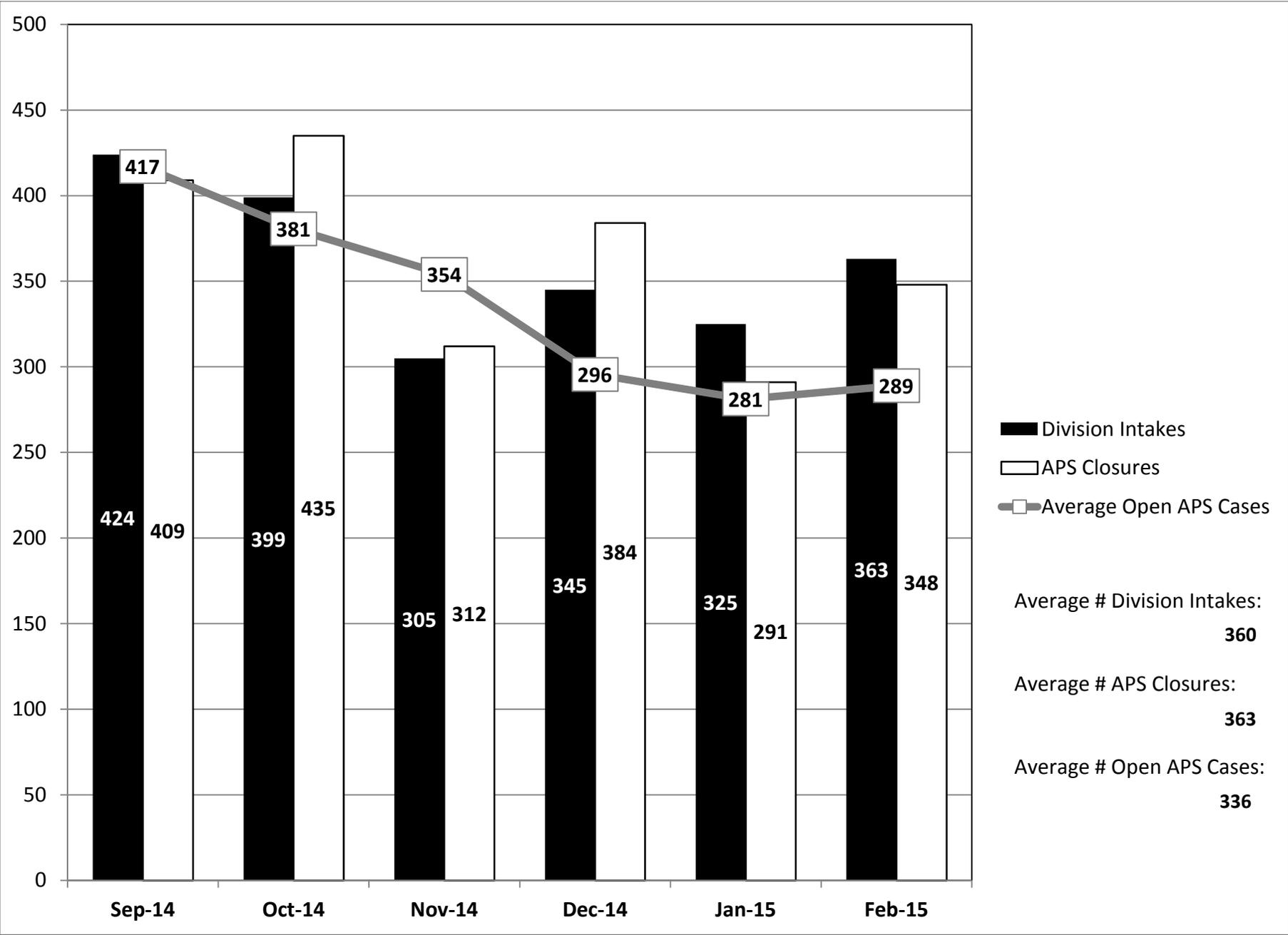


Chart 3: Intakes Referred to Investigation Past Six Months

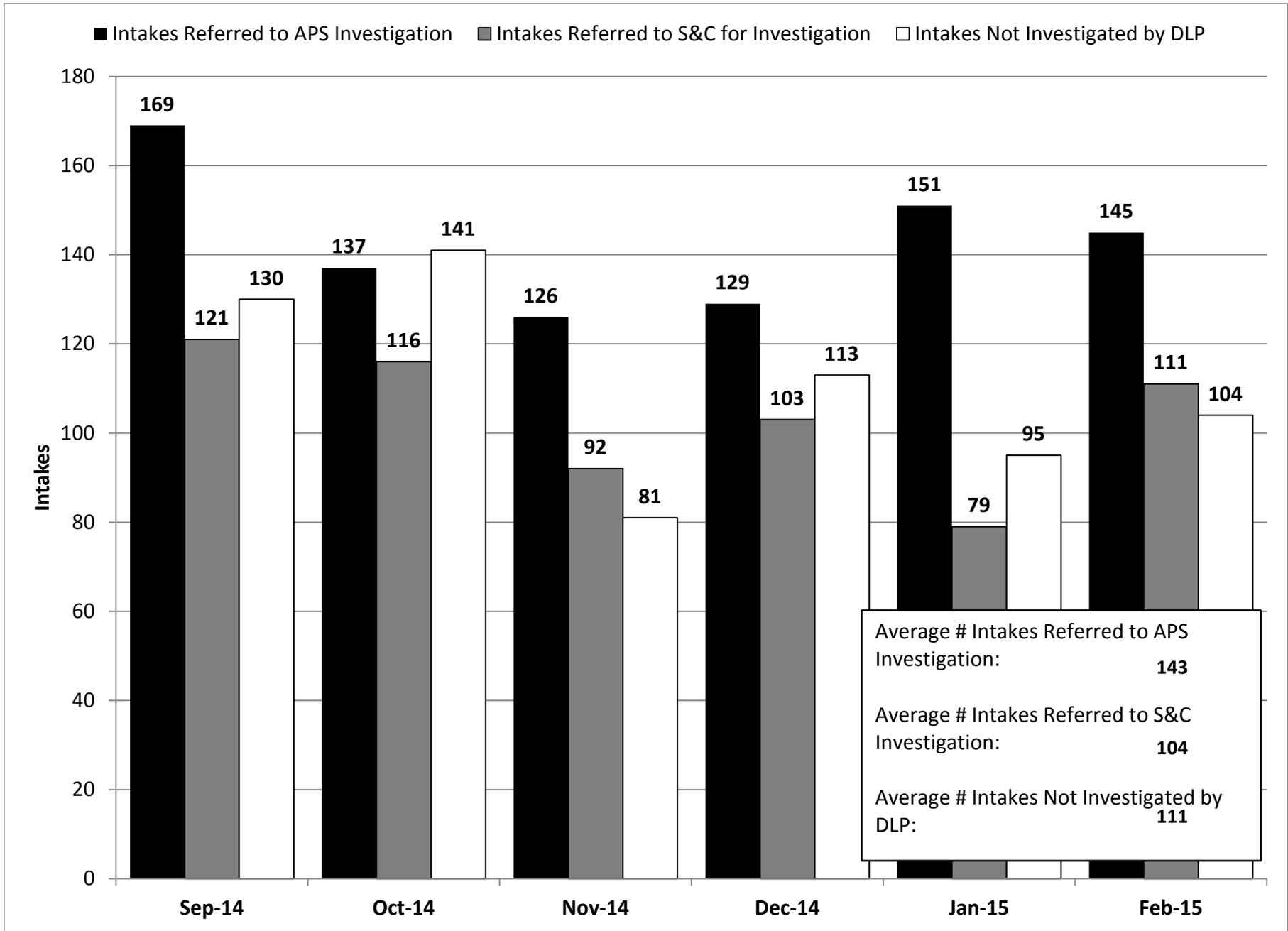


Chart 4: Percent Intakes Referred to Investigation Past Six Months

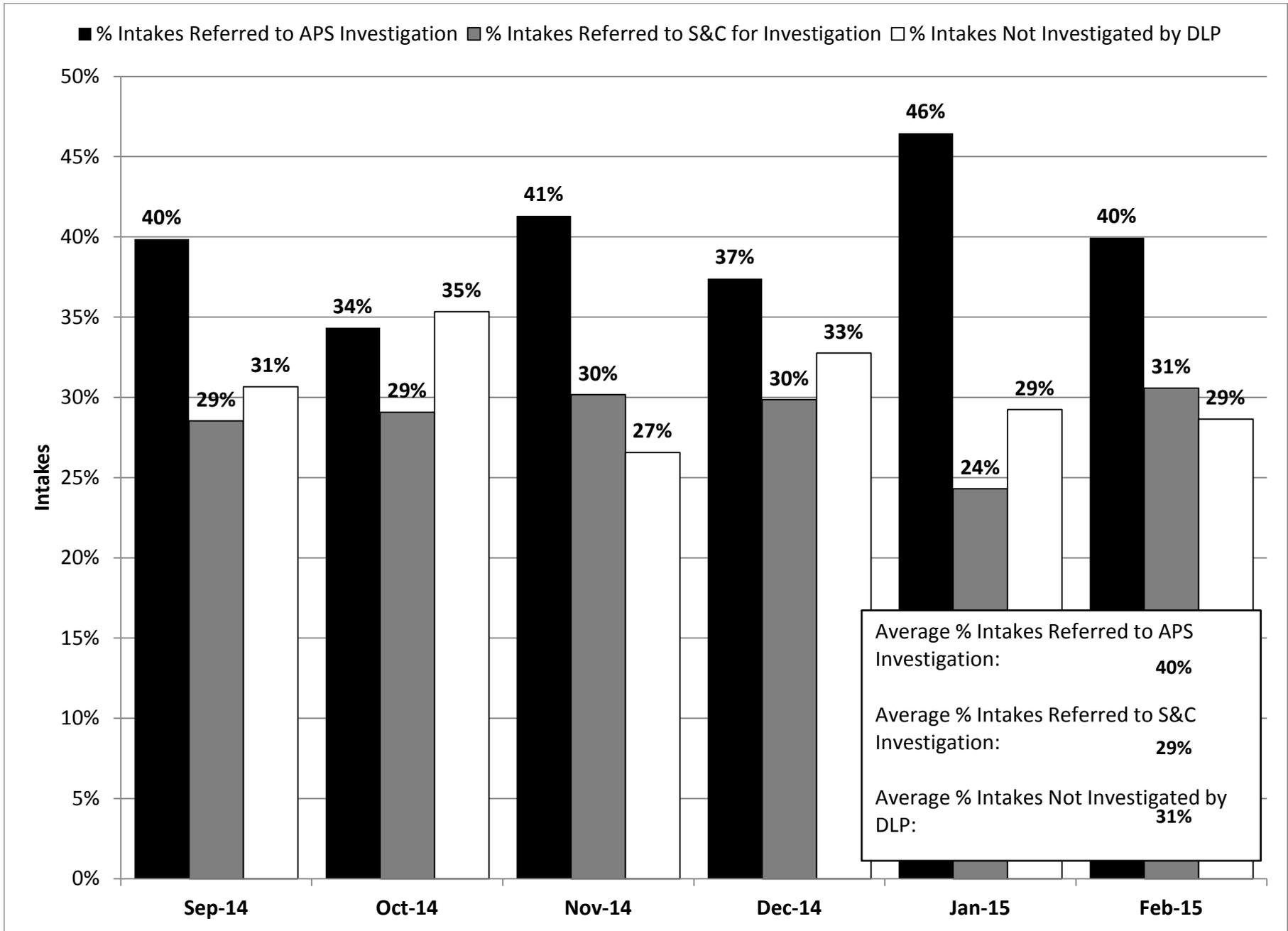


Chart 5: Number Open Cases in Three Age Categories (Point in Time Measurement for Dates Specified)

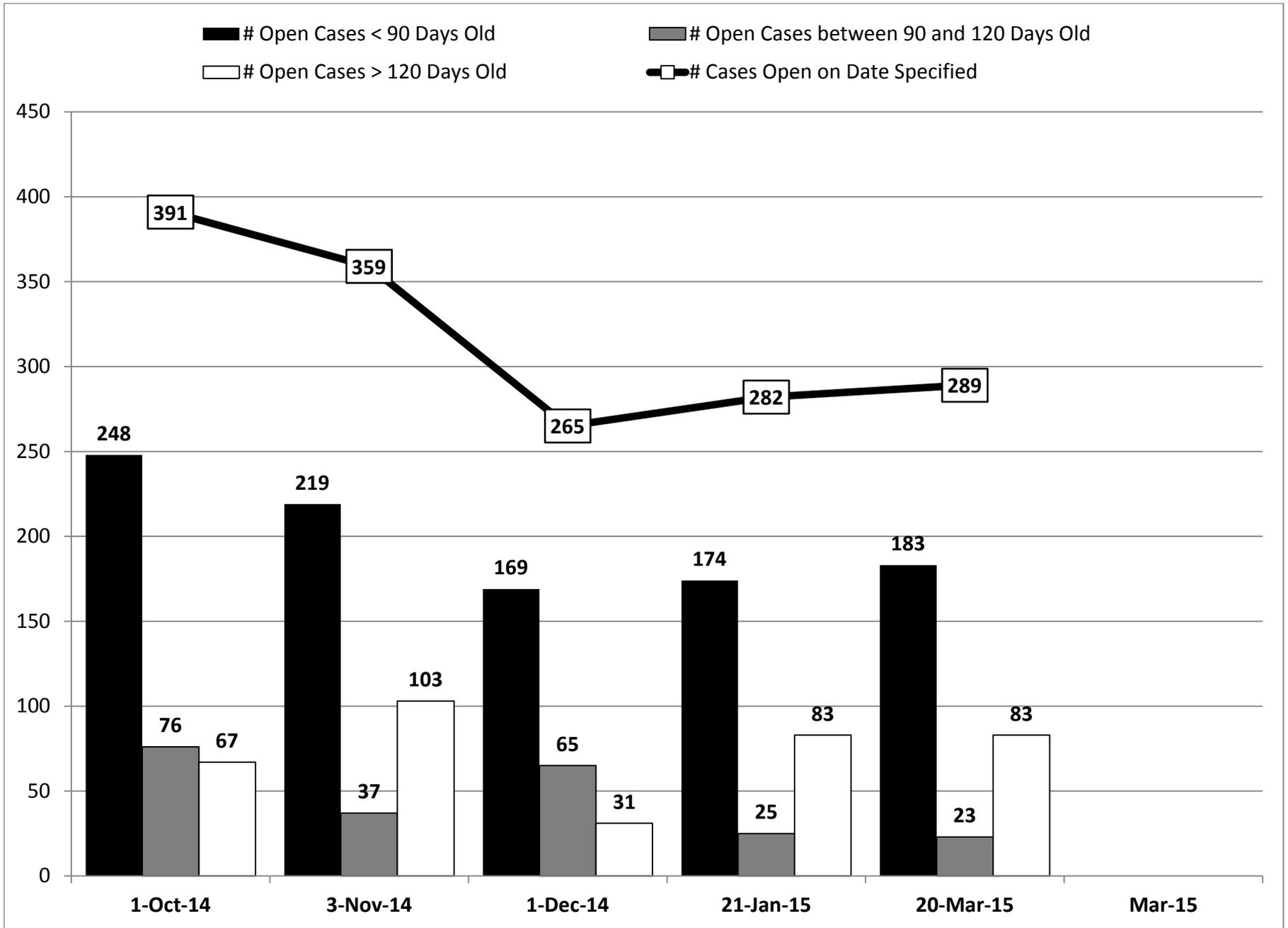


Chart 6: Percent Open Cases in Three Age Categories (Point in Time Measurement for Dates Specified)

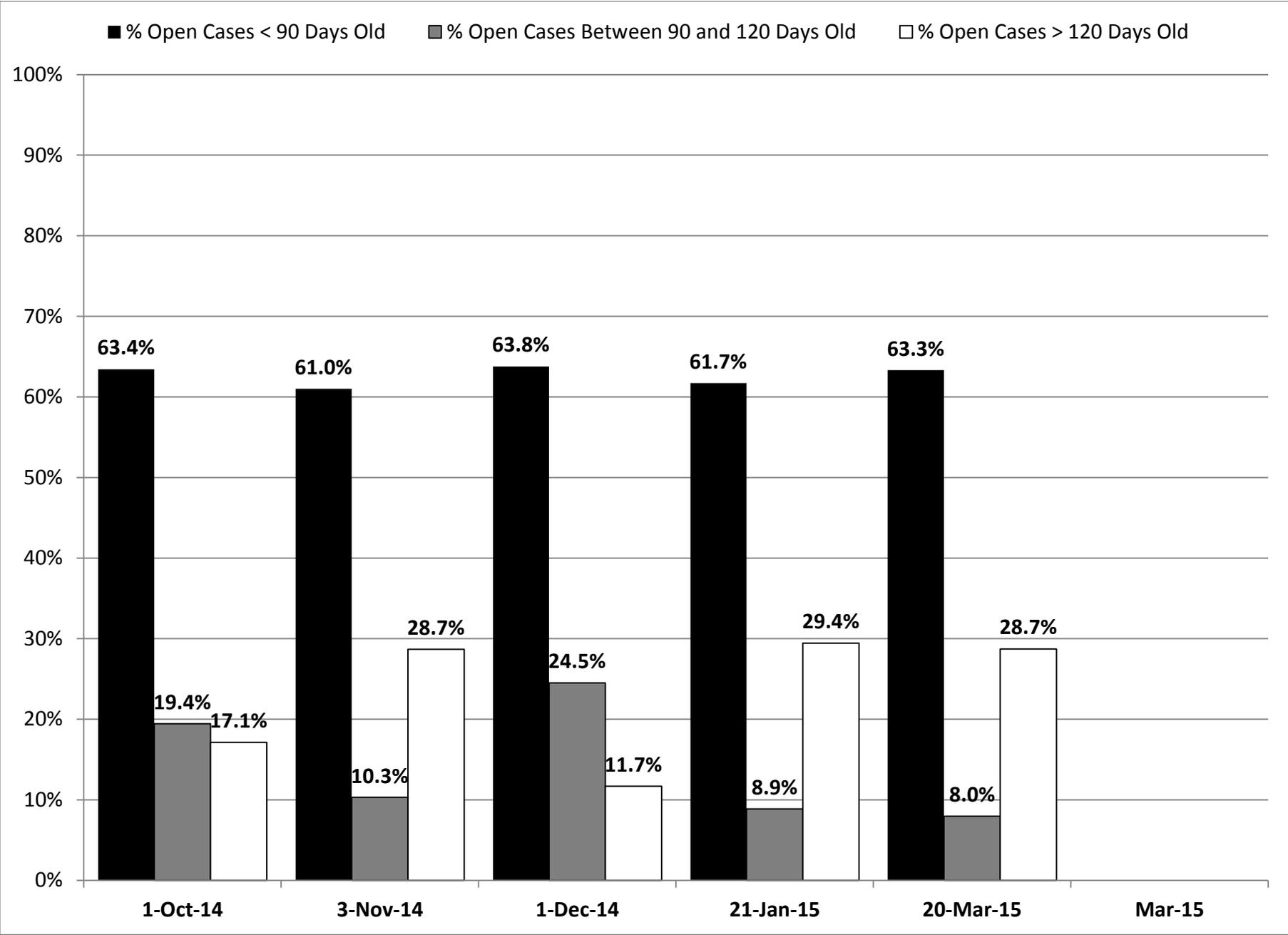


Chart 7: Number of APS Investigations Substantiated and Not Substantiated Past Six Months

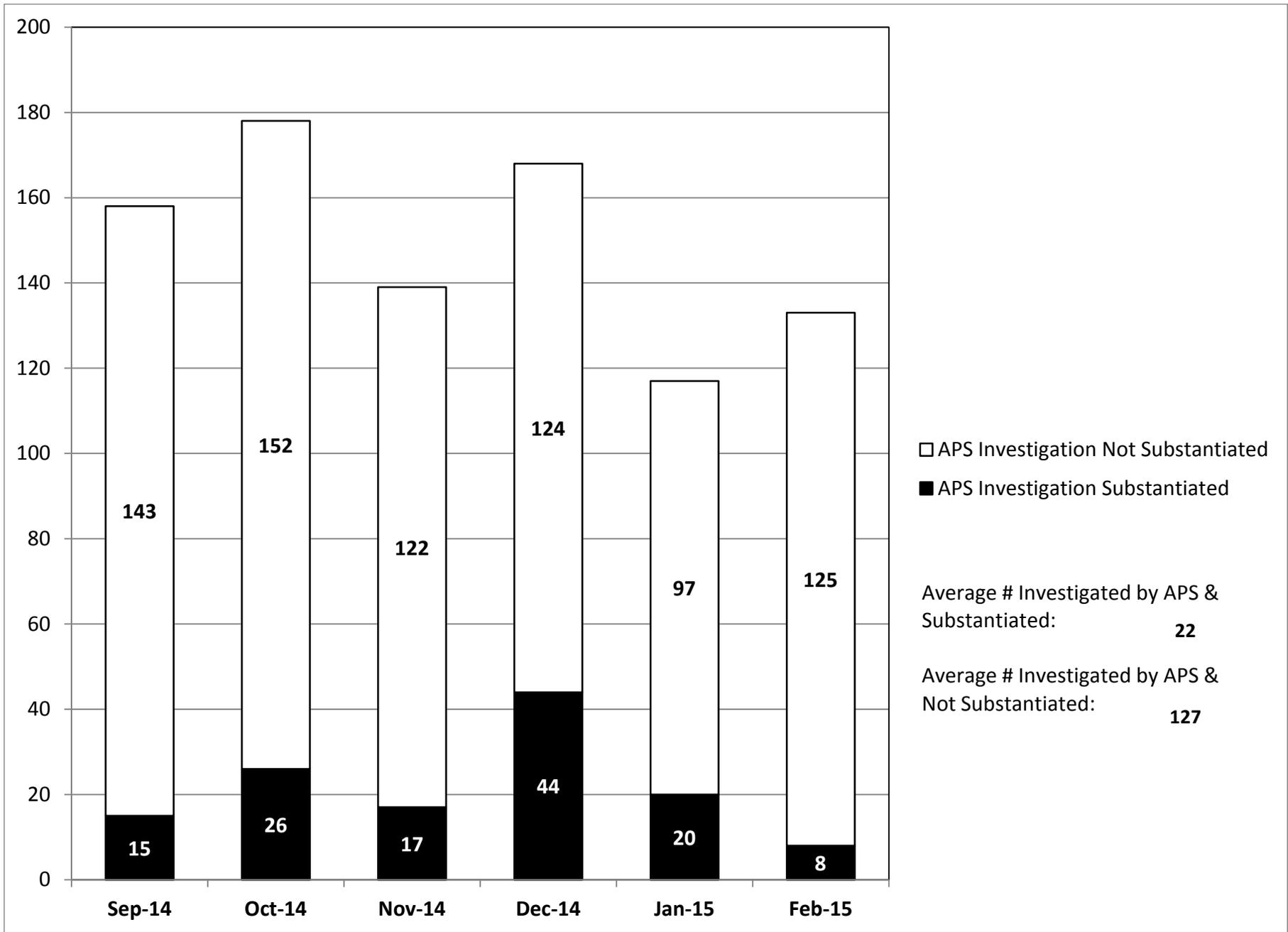


Chart 8: Percent of APS Investigations Substantiated and Not Substantiated Past Six Months

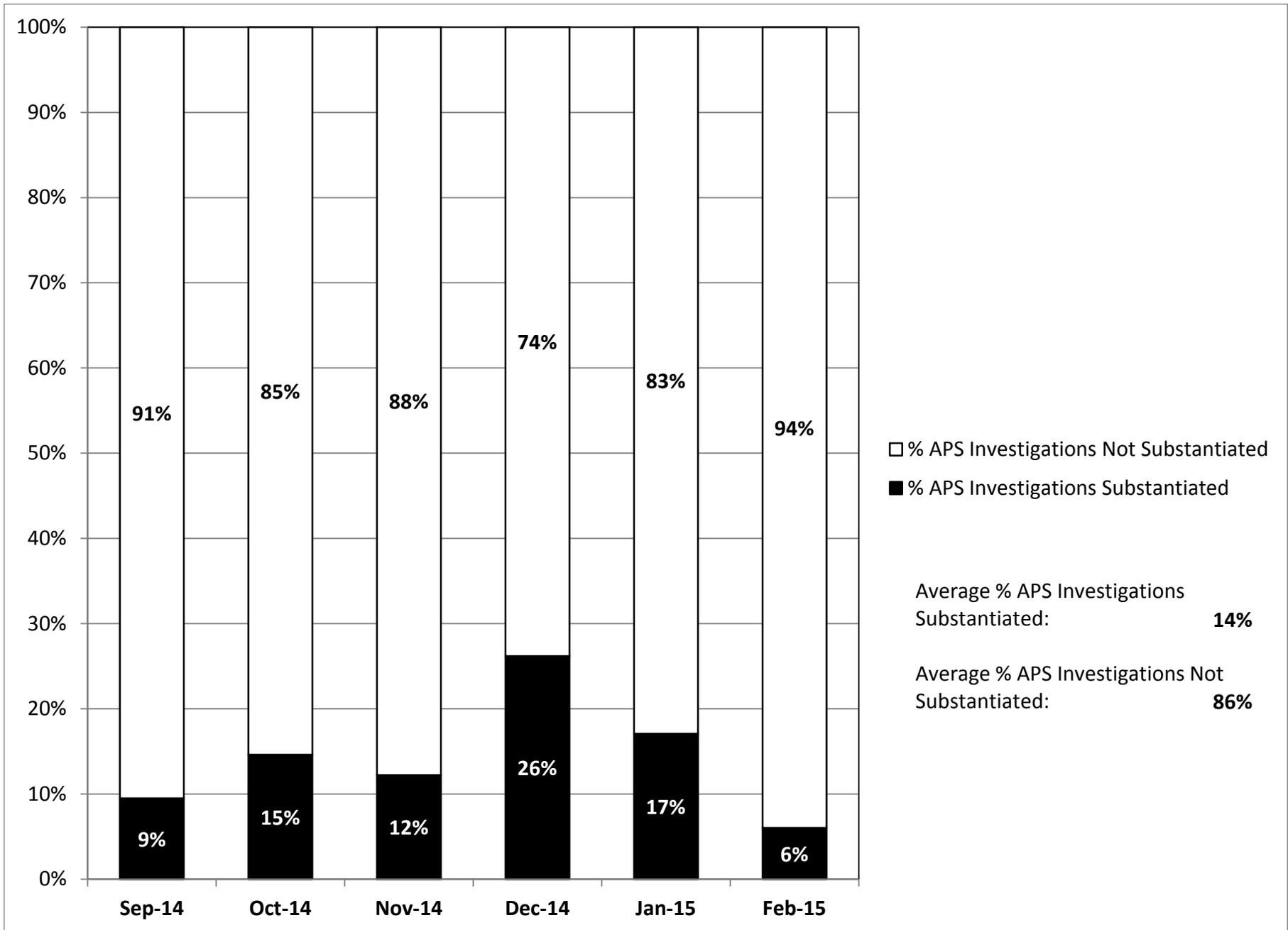
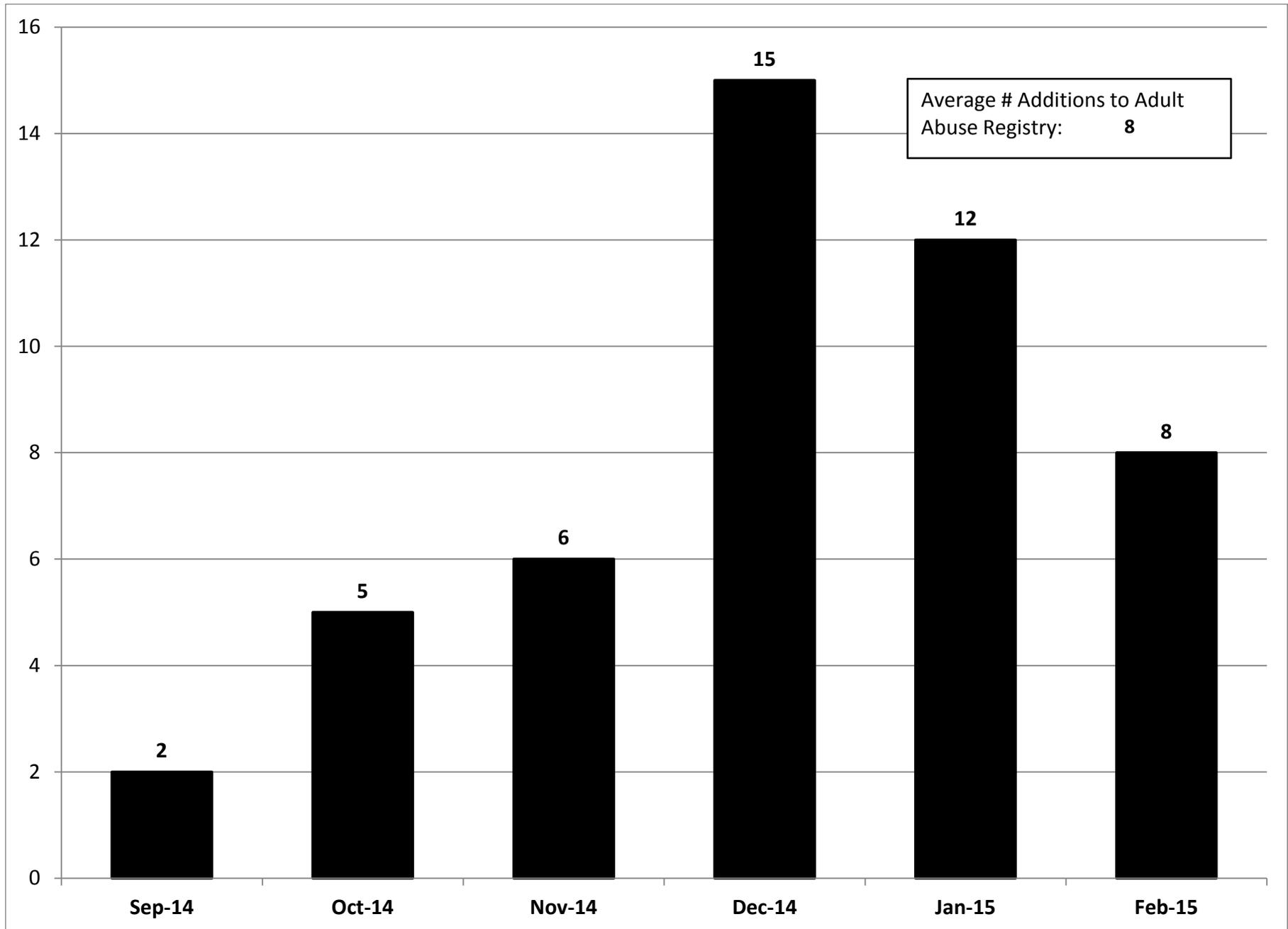


Chart 9: Adult Abuse Registry Additions Past Six Months



Appendix A

Definitions for Data Elements

All Closed Contacts: The number of intakes closed without investigation because the alleged victim is not a vulnerable adult and/or the situation described does not involve abuse, neglect, or exploitation.

Caseload (Average): The average caseload for investigators during the month.

Closed Contacts Referred to S&C: The number of intakes not investigated by APS but referred to Survey and Certification (S&C) because they pertain to a licensed facility.

Completed Investigations: The total number of investigations completed.

Intakes Entered: The total number of intakes received by the Division of Licensing and Protection during the month through web intake, fax, phone, and mail.

Intakes Referred for Investigation: The number of intakes referred to an APS Investigator for investigation.

Maximum Investigator Caseload: The maximum number of open cases for an investigator across all investigators during the month.

Minimum Investigator Caseload: The minimum number of open cases for an investigator across all investigators during the month.

Open Cases < 90 Days Old; Open Cases Between 90 and 120 Days Old; Open Cases > 120 Days Old: For Charts 5 and 6, the number or percentage of cases falling into these three categories. These ages are based on the number of days since the investigation was assigned.

Perpetrators Placed on Registry: The number of individuals placed on the registry after they have been substantiated and no appeal has been filed, or after they have been substantiated and their appeals have been heard and denied.

Substantiated Investigations: The number of investigations that have been completed and are substantiated because the APS Investigator determined a vulnerable adult has been abused, neglected, and/or exploited by a perpetrator.

Total Open Cases (Average): The average number of cases open during the month.

Unsubstantiated Investigations: The number of investigations that have been completed and were not substantiated by the APS Investigator.