

## DEPARTMENT OF DISABILITIES, AGING AND INDEPENDENT LIVING

Division of Licensing and Protection HC 2 South, 280 State Drive Waterbury, VT 05671-2060 http://www.dail.vermont.gov

Survey and Certification Voice/TTY (802) 241-0480 Survey and Certification Fax (802) 241-0343

Survey and Certification Reporting Line: (888) 700-5330

To Report Adult Abuse: (800) 564-1612

May 3, 2024

Ms. Patricia Bauerle Lakeview Community Care Home 322 St Paul Street Burlington, VT 05401-4647

Dear Ms. Bauerle:

Enclosed is a copy of your acceptable plans of correction for the survey conducted on **April 29**, **2024**. Please post this document in a prominent place in your facility.

We may follow up to verify that substantial compliance has been achieved and maintained. If we find that your facility has failed to achieve or maintain substantial compliance, remedies may be imposed.

If you have any questions, please feel free to contact me at (802) 585-0995.

Sincerely,

Carolyn Scott, LMHC, MS State Long Term Care Manager Division of Licensing & Protection

Division of Licensing and Protection (X1) PROVIDER/SUPPLIER/CLIA (X3) DATE SURVEY STATEMENT OF DEFICIENCIES (X2) MULTIPLE CONSTRUCTION AND PLAN OF CORRECTION COMPLETED IDENTIFICATION NUMBER: A. BUILDING: B. WING 0177 04/29/2024 NAME OF PROVIDER OR SUPPLIER STREET ADDRESS, CITY, STATE, ZIP CODE 322 ST PAUL STREET LAKEVIEW COMMUNITY CARE HOME **BURLINGTON, VT 05401** PROVIDER'S PLAN OF CORRECTION SUMMARY STATEMENT OF DEFICIENCIES (X5) COMPLETE (X4) ID (EACH CORRECTIVE ACTION SHOULD BE PREFIX (EACH DEFICIENCY MUST BE PRECEDED BY FULL PREFIX DATE CROSS-REFERENCED TO THE APPROPRIATE REGULATORY OR LSC IDENTIFYING INFORMATION) TAG TAG DEFICIENCY) R100 Initial Comments: R100 On April 29, 2024, an announced onsite licensing review was conducted by the Division of Licensing and Protection to determine if the facility is in compliance with the Residential Care Home Regulations. Regulatory deficiencies were identified as a result of this on site review. R999 MISCELLANEOUS R999 SS=F 4.9 Change in Status When a change of ownership or location is planned, the licensee or prospective licensee is required to file a new application for license at least ninety (90) days prior to the proposed date of the change. The new licensee shall provide each resident with a written agreement that describes all rates and charges as defined in 5.2.a. This REQUIREMENT is NOT MET as evidenced Based on interview and review of records, the home failed to provide each resident with a written admissions agreement describing all rates and charges after becoming licensed by the Licensing agency. After relocating residents to the unlicensed new location, the facility required each resident to sign a new admissions agreement on April 2, 2024, which is prior to the time of becoming fully licensed. This has the potential for more than minimal harm to all residents, as the residents have signed an invalid admissions agreement and materials prior to becoming licensed by the licensing agency. Findings include: Per review of the licensing application and review Division of Licensing and Protection TITLE (X6) DATE LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE If continuation sheet 1 of 2 STATE FORM 5/3/2024

Division of Licensing and Protection

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:			(X2) MULTIPLE CONSTRUCTION			(X3) DATE SURVEY COMPLETED	
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0177				B. WNG	B. WNG		04/29/2024		
NAME OF PROVIDER OR SUPPLIER			STR	EET ADD	ET ADDRESS, CITY, STATE, ZIP CODE				
LAKEVIEW COMMUNITY CARE HOME			10000	322 ST PAUL STREET					
				URLINGTON, VT 05401					
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R999	Continued From page			R999					
R999	SUMMARY STATEMENT OF DEFICE (EACH DEFICIENCY MUST BE PRECEIR REGULATORY OR LSC IDENTIFYING IN Continued From page 1  of records, the admissions agreement by the residents at the time of relocation new location in Shelburne VT on April The admissions agreement was significant the Division of Licensing and Protect become the licensee to operate as a Care Home in Vermont. The admissions agreement signed by the residents of 2024 states, "Lakeview Community (licensed by the State of Vermont as Residential Care Home (RCH)". Per facility Manager and Director of Long Supports and Services confirmed the agreements were signed prior to obtilicense to operate by the Division agreements.		ation to the ril 2, 2024. The prior to approved by tion to a Residential ion on April 2, Care Home is a Level III interview, the gramme admissions aining a		R999	PREFIX (EACH CORRECTIVE ACTION SHOU TAG CROSS-REFERENCED TO THE APPRODEFICIENCY)			
	ansing and Protection	911/400-11-1							

Division of Licensing and Protection

MZ2511



Pamela M. Cota, RN Licensing Chief Division of Licensing and Protection HC 2 South, 280 State Drive Waterbury, VT 054671-2306

May 3, 2024

Dear Ms. Cota:

Below is the plan of correction for the deficiency cited in the on-site Licensing review at the Lakeview Community Care Home of Howard Center that took place on 4/29/2024.

V.5.5.a Resident Care and Services

## R999 4.9

Action Taken - The following notice was provided to residents and guardians:

"Dear Resident or Guardian:

This Letter is to serve as notice of a temporary change in licensure status for the Lakeview Community Care Home. As you are aware on April 2<sup>nd</sup> the Lakeview Community Care home relocated from its prior location at 322 St. Paul Street, Burlington, VT, 05401 to a new location on 4381 Shelburne Road, Shelburne, VT, 05482. This move was an exciting change for the program and its residents. Shortly after the move, we were informed by the Division of Licensing and Protection (DLP) that our License would not transfer from the old location, and we needed to reapply for a license at the new location. For this reason, the Lakeview Community Care home is currently considered unlicensed. We have been diligently working with DLP to correct this situation and establish proper licensure. As of this week DLP has accepted our plan of correction and will be reissuing our license. The period in which the program has been considered unlicensed has not changed or affected the services provided for our current residents in any way. As part of our plan to remedy this situation all residents (and guardians) will be asked to sign a new admission agreement per DLP's guidance. If you have any questions, please reach out."

This notice was read aloud to residents on an individual basis by Lakeview Team Lead and Assistant Director of Residential Programs. Residents were also provided with a paper copy. Residents who did not wish to have the notice read to them were

Names redacted by DLP 5/3/24



provided with a paper copy and encouraged to ask questions after review. Paper copies of this notice were mailed to guardians by Care Manager (who also attempted contact by phone).

Name redacted by DLP 5/3/24

Residents had previously been issued a notice regarding rates and charges not changing with the relocation of the program (please see POC for 4/11/24 off-site investigation).

Measures put in place to ensure the deficiency does not recur – Manager performed full review of current regulations on 4/25/2024.

Monitoring – Administrative staff are aware of the deficiency and will continue to monitor until compliance is achieved (all agreements have been reviewed and signed).

<u>Completion</u> – Residents and Guardians were notified in person or by phone on 5/3/2024 (or attempted to be contacted in the case of 2 guardians – Care Manager requested a return call at their earliest convenience). New Admission Agreements will be reviewed with residents and guardians and signed by 5/10/2024.

LICSW

Please reach out if you have any additional questions.

R999- Accepted by Carol Scott on 5-3-24.

Sincerely,

Patricia Bauerle, LICSW

Senior Manager

Lakeview Community Care Home

4381 Shelburne Road, Shelburne, VT 05482

Howard Center 300 Flynn Ave Burlington, VT 05401

phauerle@howardcenter.org 802-343-2267